

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

Annual Return (other than OPCs and Small Companies)

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

U65990MH2001PLC131804

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AABCT4143P

(ii) (a) Name of the company

THE CLEARING CORPORATION

(b) Registered office address

CCIL BHAVAN, S. K. BOLE ROAD
DADAR (WEST)
MUMBAI
Mumbai City
Maharashtra
400022

(c) *e-mail ID of the company

ssd@ccilindia.co.in

(d) *Telephone number with STD code

02261546200

(e) Website

www.ccilindia.com

(iii) Date of Incorporation

30/04/2001

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes No

(vi) *Whether shares listed on recognized Stock Exchange(s)

Yes No

(b) CIN of the Registrar and Transfer Agent

U72400MH2004PLC147094

Pre-fill

Name of the Registrar and Transfer Agent

NSDL DATABASE MANAGEMENT LIMITED

Registered office address of the Registrar and Transfer Agents

4TH FLOOR TRADE WORLD A WINGKAMLA MILLS COMPOUND
LOWER PAREL (W)

(vii) *Financial year From date (DD/MM/YYYY) To date (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted Yes No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K8	Other financial activities	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	CLEARCORP DEALING SYSTEM	U74999MH2003PLC140849	Subsidiary	100
2	LEGAL ENTITY IDENTIFIER INDI	U74900MH2015PLC268921	Subsidiary	100

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	50,000,000	50,000,000	50,000,000	50,000,000
Total amount of equity shares (in Rupees)	500,000,000	500,000,000	500,000,000	500,000,000

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity Shares of Rs. 10/- each				
Number of equity shares	50,000,000	50,000,000	50,000,000	50,000,000
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	500,000,000	500,000,000	500,000,000	500,000,000

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	50,000,000	50,000,000	50,000,000	50,000,000
Total amount of preference shares (in rupees)	500,000,000	500,000,000	500,000,000	500,000,000

Number of classes

1

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
8.5% Redeemable Non- Convertible Cumulative Pre				
Number of preference shares	50,000,000	50,000,000	50,000,000	50,000,000
Nominal value per share (in rupees)	10	10	10	10
Total amount of preference shares (in rupees)	500,000,000	500,000,000	500,000,000	500,000,000

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
Equity shares						
At the beginning of the year	0	50,000,000	50000000	500,000,000	500,000,000	
Increase during the year	0	0	0	0	0	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0

iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify <input type="text"/>						
Decrease during the year	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify <input type="text"/>						
At the end of the year	0	50,000,000	50000000	500,000,000	500,000,000	
Preference shares						
At the beginning of the year	0	50,000,000	50000000	500,000,000	500,000,000	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify <input type="text"/>						
Decrease during the year	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify <input type="text"/>						
At the end of the year	0	50,000,000	50000000	500,000,000	500,000,000	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes No Not Applicable

Separate sheet attached for details of transfers

Yes No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		12/08/2021	
Date of registration of transfer (Date Month Year)		27/09/2021	
Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	500,000	Amount per Share/ Debenture/Unit (in Rs.)	10
Ledger Folio of Transferor		IN302603 10000994	
Transferor's Name	erstwhile CorpBank Securitie		UBI Services Ltd
	Surname	middle name	first name

Ledger Folio of Transferee		IN300812 10505289	
Transferee's Name	<input type="text"/>	<input type="text"/>	Union Bank of India
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	30/03/2022
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Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	500,000	Amount per Share/ Debenture/Unit (in Rs.)	10
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Ledger Folio of Transferor	IN300812 10491269
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Transferor's Name	<input type="text"/>	<input type="text"/>	IFCI Limited
	Surname	middle name	first name

Ledger Folio of Transferee	IN300812 10491009
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Transferee's Name	<input type="text"/>	<input type="text"/>	The Karur Vysya Bank Limited
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor	<input type="text"/>
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Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee			
Transferee's Name			
	Surname	middle name	first name

(iv) *Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

3,507,551,457

(ii) Net worth of the Company

42,091,425,775

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity	Preference
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		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	0
	(ii) Non-resident Indian (NRI)	0	0	0	0
	(iii) Foreign national (other than NRI)	0	0	0	0
2.	Government				
	(i) Central Government	0	0	0	0
	(ii) State Government	0	0	0	0
	(iii) Government companies	0	0	0	0
3.	Insurance companies	0	0	0	0
4.	Banks	18,350,000	36.7	0	0
5.	Financial institutions	5,000,000	10	0	0
6.	Foreign institutional investors	0	0	0	0
7.	Mutual funds	0	0	0	0
8.	Venture capital	0	0	0	0
9.	Body corporate (not mentioned above)	0	0	0	0
10.	Others	0	0	0	0
	Total	23,350,000	46.7	0	0

Total number of shareholders (promoters)

5

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	0
	(ii) Non-resident Indian (NRI)	0	0	0	0
	(iii) Foreign national (other than NRI)	0	0	0	0

2.	Government				
	(i) Central Government	0	0	0	0
	(ii) State Government	0	0	0	0
	(iii) Government companies	0	0	0	0
3.	Insurance companies	4,150,000	8.3	0	0
4.	Banks	13,750,000	27.5	50,000,000	100
5.	Financial institutions	1,500,000	3	0	0
6.	Foreign institutional investors	0	0	0	0
7.	Mutual funds	0	0	0	0
8.	Venture capital	0	0	0	0
9.	Body corporate (not mentioned above)	7,250,000	14.5	0	0
10.	Others	0	0	0	0
	Total	26,650,000	53.3	50,000,000	100

Total number of shareholders (other than promoters)

26

**Total number of shareholders (Promoters+Public/
Other than promoters)**

31

**VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	5	5
Members (other than promoters)	26	26
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive

A. Promoter	0	0	0	0	0	0
B. Non-Promoter	1	6	1	7	0	0
(i) Non-Independent	1	0	1	0	0	0
(ii) Independent	0	6	0	7	0	0
C. Nominee Directors representing	0	6	0	5	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	6	0	5	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	1	12	1	12	0	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date 15

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
RAMA SUBRAMANIAM	03341633	Director	0	
HARE KRISHNA JENA	07624556	Managing Director	0	
NARAYAN KEELVEEDI	00053563	Director	0	12/04/2022
SIVAKUMAR GOPALAN	07537575	Director	0	
MEENA HEMCHANDRAN	05337181	Director	0	
SRINIVASAN VISHVANATHAN	02255828	Director	0	
PRASANNA BALACHANDRAN	02257744	Nominee director	0	
ASHISH KADAMBI PAFAN	08209972	Nominee director	0	
SADHU VENKATARAMAN	07972562	Nominee director	0	
PRATHIVADIBHAYANKAR	01915274	Director	0	
ARUN KUMAR ANAND	08964078	Nominee director	0	30/04/2022
RADHAVI RISHIKESH	09162876	Nominee director	0	

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
HEMANTA KUMAR PR.	02607244	Additional director	0	
DEEPAK SURJIBHAI C	ACTPC6429N	CFO	0	
PANKAJ SRIVASTAVA	AXXPS3802L	Company Secretar	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

8

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
SUDHAKAR PADMANA	08187304	Nominee director	07/05/2021	Nomination withdrawn by appointing
PRADEEP MADHAV	00267422	Nominee director	01/09/2021	Cessation
SADHU VENKATARAM	07972562	Additional director	12/08/2021	Change in designation from Additional
PRATHIVADIBHAYANK	01915274	Additional director	12/08/2021	Change in designation from Additional
ARUN KUMAR ANAND	08964078	Additional director	12/08/2021	Change in designation from Additional
RADHAVI RISHIKESH I	09162876	Additional director	07/05/2021	Appointment as Additional Director(N
RADHAVI RISHIKESH I	09162876	Additional director	12/08/2021	Change in designation from Additional
HEMANTA KUMAR PR.	02607244	Additional director	13/08/2021	Appointment as Additional Director(Ir

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
ANNUAL GENERAL MEETI	12/08/2021	31	22	74.6

B. BOARD MEETINGS

*Number of meetings held

6

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	07/05/2021	12	10	83.33
2	06/08/2021	13	12	92.31
3	29/10/2021	13	11	84.62
4	15/12/2021	13	12	92.31
5	01/02/2022	13	12	92.31
6	23/03/2022	13	12	92.31

C. COMMITTEE MEETINGS

Number of meetings held

33

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	08/04/2021	5	5	100
2	Audit Committee	07/05/2021	5	5	100
3	Audit Committee	06/08/2021	5	5	100
4	Audit Committee	29/10/2021	6	6	100
5	Audit Committee	01/02/2022	6	6	100
6	Nomination and Remuneration	07/05/2021	3	3	100
7	Nomination and Remuneration	04/08/2021	3	3	100
8	Nomination and Remuneration	31/01/2022	3	3	100
9	Corporate Social Responsibility	04/08/2021	3	3	100
10	Corporate Social Responsibility	15/12/2021	3	3	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
								08/08/2022
								(Y/N/NA)

1	RAMA SUBRA	6	6	100	32	32	100	Yes
2	HARE KRISHI	6	6	100	25	25	100	Yes
3	NARAYAN KE	6	6	100	20	20	100	Not Applicable
4	SIVAKUMAR	6	6	100	23	23	100	Yes
5	MEENA HEMO	6	6	100	7	7	100	No
6	SRINIVASAN	6	6	100	17	17	100	Yes
7	PRASANNA B	6	2	33.33	5	5	100	No
8	ASHISH KADA	6	5	83.33	0	0	0	Yes
9	SADHU VENK	6	6	100	0	0	0	No
10	PRATHIVADIE	6	6	100	2	2	100	Yes
11	ARUN KUMAR	6	3	50	0	0	0	Not Applicable
12	RADHAVI RIS	5	5	100	0	0	0	No
13	HEMANTA KL	4	4	100	2	2	100	No

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	HARE KRISHNA JE	Managing Direct	16,626,359	0	0	0	16,626,359
	Total		16,626,359	0	0	0	16,626,359

Number of CEO, CFO and Company secretary whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	O.N.RAVI	EXECUTIVE VIC	15,000,705	0	0	0	15,000,705
2	DEEPAK CHANDE	CHIEF FINANCI	11,934,885	0	0	0	11,934,885
3	PANKAJ SRIVASTA	COMPANY SEC	4,918,599	0	0	0	4,918,599
	Total		31,854,189	0	0	0	31,854,189

Number of other directors whose remuneration details to be entered

13

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	RAMA SUBRAMAN	Non-Executive C	0	0	0	2,000,000	2,000,000
2	NARAYAN KEELVE	Independent Dir	0	0	0	1,400,000	1,400,000
3	SIVAKUMAR GOPA	Independent Dir	0	0	0	1,500,000	1,500,000
4	MEENA HEMCHAN	Independent Dir	0	0	0	700,000	700,000
5	SRINIVASAN VISH	Independent Dir	0	0	0	1,250,000	1,250,000
6	PRASANNA BALAC	Nominee Directo	0	0	0	400,000	400,000
7	PRADEEP MADHA	Nominee Directo	0	0	0	100,000	100,000
8	ASHISH KADAMBI	Nominee Directo	0	0	0	250,000	250,000
9	SADHU VENKATAF	Nominee Directo	0	0	0	300,000	300,000
10	PRATHIVADIBHAY	Independent Dir	0	0	0	450,000	450,000
11	ARUN KUMAR ANA	Nominee Directo	0	0	0	150,000	150,000
12	RADHAVI RISHIKE	Nominee Directo	0	0	0	250,000	250,000
13	HEMANTA KUMAR	Independent Dir	0	0	0	350,000	350,000
	Total		0	0	0	9,100,000	9,100,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Pradeep Kumar Purwar

Whether associate or fellow

Associate Fellow

Certificate of practice number

5918

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
 (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

HARE KRISHNA JENA
 Digitally signed by HARE KRISHNA JENA
 Date: 2022.08.29 17:58:38 +05'30'

DIN of the director

07624556

To be digitally signed by

PANKAJ SRIVASTAVA
 Digitally signed by PANKAJ SRIVASTAVA
 Date: 2022.08.29 18:00:14 +05'30'

Company Secretary

Company secretary in practice

Membership number

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

Attach
Attach
Attach
Attach

List of attachments

Form MGT-8_CCIL_final.pdf
List of shareholders as on 31st march 2022
Attachment for KMP and Director.pdf
Committee details_Form.pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company



THE CLEARING CORPORATION OF INDIA LTD.

List of Equity Shareholders as on March 31, 2022

Sr. No.	DPID/Client ID	Shareholder	Number of Equity Shares held of Rs. 10/- each			Percent age of Equity Shareholding
			Demat	Physical	Total	
		(A) BANKS				
1	IN303786/10000023	State Bank of India (Promoter)	84,00,000	-	84,00,000	16.80
2	IN300812/10006118	Bank of Baroda (Promoter)	5,00,000	-	5,00,000	1.00
3	IN300126/10001816	HDFC Bank Limited (Promoter)	45,00,000	-	45,00,000	9.00
4	IN301348/20002990	ICICI Bank Limited (Promoter)	49,50,000	-	49,50,000	9.90
5	IN300484/10820757	Axis Bank Limited	20,00,000	-	20,00,000	4.00
6	IN300079/10000949	Central Bank of India	3,50,000	-	3,50,000	0.70
7	IN300159/10292385	Indusind Bank Ltd.	10,00,000	-	10,00,000	2.00
8	IN300812/10491105	Punjab National Bank	10,00,000	-	10,00,000	2.00
9	IN300812/10505289	Union Bank of India	20,00,000	-	20,00,000	4.00
10	IN300812/10488056	Bank of India	5,00,000	-	5,00,000	1.00
11	IN301356/10001195	Canara Bank	17,50,000	-	17,50,000	3.50
12	IN300812/10491009	The Karur Vysya Bank Ltd.	5,00,000	-	5,00,000	1.00
13	IN300054/10002712	Citibank N.A	5,00,000	-	5,00,000	1.00
14	IN300142/10214457	The Hong Kong and Shanghai Banking Corporation Limited.	5,00,000	-	5,00,000	1.00
15	IN300126/11180559	JP Morgan Chase Bank NA, Mumbai	24,00,000	-	24,00,000	4.80
16	IN301516/10000012	The Federal Bank Ltd	12,50,000	-	12,50,000	2.50
		TOTAL(A)	3,21,00,000	-	3,21,00,000	64.20
		(B) FINANCIAL INSTITUTIONS				
17	IN300812/10000012	Life Insurance Corporation of India (Promoter)	50,00,000	-	50,00,000	10.00
18	IN300812/10491269	IFCI Ltd	15,00,000	-	15,00,000	3.00
		TOTAL(B)	65,00,000	-	65,00,000	13.00
		(C) INSURANCE COMPANIES				
19	IN301524/30026774	Kotak Mahindra Life Insurance Company Ltd	25,00,000	-	25,00,000	5.00
20	IN301524/30023374	ICICI Lombard General Insurance Company Ltd	16,50,000	-	16,50,000	3.30
		TOTAL (C)	41,50,000	-	41,50,000	8.30
		(D) OTHER BODIES CORPORATE				
21	IN301549/39160916	STCI Finance Ltd.	50,00,000	-	50,00,000	10.00
22	IN300812/10492227	SBI DFHI Ltd.	22,50,000	-	22,50,000	4.50
		TOTAL (D)	72,50,000	-	72,50,000	14.50
		GRAND TOTAL (A) + (B) + (C) + (D)	5,00,00,000	-	5,00,00,000	100.00

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List of 8.5 % Redeemable Non-convertible Cumulative Preference Shareholding Pattern (RNCPS-II) as on March 31, 2022						
Sr. No.	DPID/Client ID	Shareholder	Number of Preference Shares held of Rs. 10/- each			Percentage of Preference Shareholding
			Demat	Physical	Total	
		BANKS				
1	IN300812/10491148	City Union Bank Ltd.	10,00,000	-	10,00,000	2.00
2	IN302814/10025816	Kotak Mahindra Bank Ltd.	80,00,000	-	80,00,000	16.00
3	IN303270/10037180	Yes Bank Ltd.	50,00,000	-	50,00,000	10.00
4	IN301516/10000012	The Federal Bank Ltd.	50,00,000	-	50,00,000	10.00
5	IN301321/10325561	The Kalapur Commercial Co-operative Bank Ltd	1,40,00,000	-	1,40,00,000	28.00
6	IN300812/10491043	Karnataka Bank Ltd	20,00,000	-	20,00,000	4.00
7	IN300812/10505738	The South Indian Bank Ltd	50,00,000	-	50,00,000	10.00
8	IN300079/10001066	The Karur Vyasya Bank Ltd	50,00,000	-	50,00,000	10.00
9	IN303307/10000076	DBS Bank India Ltd	50,00,000	-	50,00,000	10.00
		TOTAL	5,00,00,000	-	5,00,00,000	100.00

Certified True Copy

For The Clearing Corporation of India Limited

Pankaj Srivastava
Company Secretary
Membership No. F9100

Date: September 26, 2022



Form No. MGT-8

[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE
(UDIN: F005769D000823278)

We have examined the registers, records and books and papers of **The Clearing Corporation of India Limited (CIN: U65990MH2001PLC131804)** hereinafter referred to as 'the Company' as required to be maintained under the Companies Act, 2013 ('the Act') and the rules made there under for the financial year ended on **31st March, 2022**. In our opinion and to the best of our information and according to the examinations carried out by us and explanations furnished to us by the company, its officers and agents, we certify that:

- A. the Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. during the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under in respect of:
1. its status under the Act;
 2. maintenance of registers/records & making entries therein within the time prescribed therefore;
 3. filing of forms and returns as stated in the annual return, with the Registrar of Companies, ~~Regional Director, Central Government, the Tribunal, Court~~ or other authorities (as applicable) within the prescribed time;
 4. calling/ convening/ holding meetings of Board of Directors or its committees, ~~if any,~~ and the meetings of the members of the Company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions ~~and resolutions passed by postal ballot~~, if any, have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed;
 5. closure of Register of Members / Security holders, as the case may be; **(Not applicable)**
 6. advances/loans to its directors and/or persons or firms or companies referred in Section 185 of the Act; **(Not Applicable for the period under review)**
 7. contracts/arrangements with related parties as specified in Section 188 of the Act;
 8. transfer of equity shares and preference shares of the Company;
 9. issue or allotment or transmission or buy back of securities shares/redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities and issue of share certificates in all instances; **(Not Applicable for the period under review)**



10. keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act; **(Not Applicable for the period under review)**
11. declaration / payment of dividend in terms of provisions of Section 123 of the Companies Act, 2013; ~~transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with Section 125 of the Act;~~
12. signing of audited financial statement as per the provisions of Section 134 of the Act and report of directors is as per sub - sections (3), ~~(4)~~ and (5) thereof;
13. constitution / appointment/ re-appointment/ retirement/ resignation/ ~~filling up casual vacancies~~/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them;
14. appointment/ ~~re-appointment/ filling up casual vacancies~~ of auditors as per the provisions of Section 139 of the Act;
15. approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities (as applicable) under the various provisions of the Act; **(Not Applicable for the period under review)**
16. acceptance/ renewal/ repayment of deposits; **(Not Applicable for the period under review)**
17. borrowings from ~~its directors, members, public financial institutions, the banks and others and creation/ modification/ satisfaction of charges in that respect, wherever applicable~~; (to meet the temporary liquidity requirements for the purposes of completion of settlement operations)
18. loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of Section 186 of the Act; **(Not Applicable for the period under review)**
19. Alteration of the provisions of the Memorandum and the Articles of Association of the Company. **(Not Applicable for the period under review)**

For Pradeep Purwar & Associates
[Unique Identification No. S2003MH071600]
[PR: 599/2019]

PRADEEP
KUMAR
PURWAR

Digitally signed by
PRADEEP KUMAR
PURWAR
Date: 2022.08.22
10:48:40 +05'30'

Pradeep Kumar Purwar
Proprietor
CoP. No.: 5918
FCS No.: 5769

Place: Thane
Date: 22nd August 2022



THE CLEARING CORPORATION OF INDIA LTD.

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

C. Committee Meetings

Number of meetings held: 33

Sr. No	Type of meeting	Date of meeting	Total no. of members as on the date of meeting	Attendance	
				No. of Members Attended	as % of total members
11.	Corporate Social Responsibility Committee	23/03/2022	3	3	100
12.	Regulatory Compliance Committee	07/05/2021	4	4	100
13.	Regulatory Compliance Committee	04/08/2021	4	4	100
14.	Regulatory Compliance Committee	28/10/2021	4	4	100
15.	Regulatory Compliance Committee	15/12/2021	4	4	100
16.	Regulatory Compliance Committee	31/01/2022	4	4	100
17.	Committee of Directors on Risk Management	04/05/2021	5	5	100
18.	Committee of Directors on Risk Management	29/07/2021	5	5	100
19.	Committee of Directors on Risk Management	18/10/2021	5	5	100
20.	Committee of Directors on Risk Management	15/12/2021	6	6	100
21.	Committee of Directors on Risk Management	29/01/2022	6	6	100
22.	Technical Approval Committee	04/05/2021	4	4	100
23.	Technical Approval Committee	14/06/2021	4	4	100
24.	Technical Approval Committee	30/07/2021	4	4	100
25.	Technical Approval Committee	18/10/2021	4	4	100

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26.	Technical Approval Committee	15/12/2021	4	4	100
27.	Technical Approval Committee	29/01/2022	4	4	100
28.	Technical Approval Committee	23/03/2022	4	4	100
29.	Human Resources Committee	04/05/2021	4	4	100
30.	Human Resources Committee	29/10/2021	4	4	100
31.	Bye-laws, Rules and Regulations Committee	04/08/2021	2	2	100
32.	Bye-laws, Rules and Regulations Committee	04/10/2021	2	2	100
33.	Premises Advisory Committee	17/03/2022	5	5	100

For The Clearing Corporation of India Limited

Pankaj Srivastava
Company Secretary
Membership No. F9100

Date: September 26, 2022

M: @



THE CLEARING CORPORATION OF INDIA LTD.

September 26, 2022

To,
The Registrar of Companies
Everest
100, Marine Drive
Mumbai -400 002
Maharashtra

Sub: Details of Key Managerial Personnel with respect to the filing Form MGT-7 for FY 2021-22 Details of Key Managerial Personnel – Mr. O.N. Ravi

As you are kindly aware, definition of the term Key Managerial Personnel as contained in Section 2(51) of the Companies Act, 2013 was amended by the Companies (Amendment) Act, 2017 with effect from February 9, 2018 to include the following :-

- (i) the Chief Executive Officer or the managing director or the manager;
- (ii) the company secretary;
- (iii) the whole-time director;
- (iv) the Chief Financial Officer;
- (v) such other officer, not more than one level below the directors who is in whole-time employment, designated as key managerial personnel by the Board; and
- (vi) such other officer as may be prescribed.

We refer to the filing of this Form MGT-7 and would like to inform that Mr. O.N Ravi, Executive Vice President is designated as whole-time Key Managerial Personnel i.e “officer not more than one level below the directors who is in whole-time employment” as stipulated under Section 203 read with Section 2(51) of the Companies Act, 2013 with effect from May 10, 2018. The Company had filed Form MGT-14 vide SRN No. G88136494 dated 28th May, 2018 in terms of provisions of Companies Act, 2013 read with rules framed thereunder for designating Mr. O.N. Ravi as a whole- time Key Managerial Personnel. However, the Company was unable to file Form DIR 12, for the said appointment of KMP since the category of “officer not more than one level below the directors who is in whole-time employment” was not stated for selection in the Form DIR -12.



Further, at the time of filling the details of point no. VIII (B)(i) **Details of Directors and Key Managerial Personnel (who is not a director) as on the closure** of financial year of the Form MGT-7 it was observed/noticed that such category of “officer not more than one level below the director” is not available for selection in the drop down option of the designation field in the table seeking **Details of Directors and Key Managerial Personnel as on the closure of financial year** of the Form MGT-7.

Accordingly, we request you to take on record the following additional information as a part of point no. VIII (B)(i) **Details of Directors and Key Managerial Personnel as on the closure of financial year** of the Form MGT-7.

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL
NUMBER OF DIRECTORS AND KEY MANAGERIAL PERSONNEL (WHO IS NOT
DIRECTOR) AS ON THE FINANCIAL YEAR END DATE:**

B(i) Details of directors and key managerial personnel as on the

16

 closure of the financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : if any)
O.N. RAVI	AAGPO6936M	Executive Vice President	0	Not applicable

In view of the above, we request you to take on record the **Number of Directors and Key managerial personnel (who is not director) as on the financial year end date** i.e March 31, 2022 as **16 (sixteen)** after taking into consideration Mr. O.N. Ravi, Executive Vice President as Key Managerial Personnel.

We request you to take on record the above information pertaining to the Key Managerial Personnel as part of Form MGT-7 for FY 2021-22 and oblige.

For The Clearing Corporation of India Limited

Pankaj Srivastava
Company Secretary
(Membership No. F9100)
Address: CCIL Bhavan, S. K. Bole Road,
Dadar (West), Mumbai-400 028

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